Certification of Consistency

Certification ID:

Step 1 - Agency Profile

A. GOVERNMENT AGENCY:	✓ State Agency		Local Agency
Government Agency:	Department of Water Resources		
Primary Contact:	You Chen (Tim) Chao		
Address:	1416 Ninth Street		
City, State, Zip:	Sacramento, CA 95814		
Telephone/Fax:	916-651-8137 /		
E-mail Address:	youchen.chao@water.ca.gov		
B. GOVERNMENT AGENCY RO	DLE IN COVERED ACTION:	Will Carry Out	✓ Will Approve ✓ Will Fund

Will Carry Out

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Will Approve

Step 2 - Covered Action Profile

J.

IT IS RECOMMENDED THAT YOU ENGAGE IN EARLY CONSULTATION WITH DSC STAFF AND/OR COMPLETE THE COVERED ACTION CHECKLIST TO DETERMINE IF THE PLAN, PROGRAM OR PROJECT IS CONSIDERED A COVERED ACTION AND TO IDENTIFY RELEVANT **REGULATORY POLICIES COVERED ACTION PROFILE:** Plan **Program Project Title: Rio Vista Estuarine Research Station** В. **PROPONENT CARRYING OUT COVERED ACTION** (If different than State or Local Agency): Proponent Name: **Department of Water Resources** 1416 Ninth Street Address: City, State, Zip: Sacramento, CA 95814 AT LEAST 10 DAYS PRIOR TO THE SUBMISSION OF A CERTIFICATION OF CONSISTENCY TO THE COUNCIL, agencies whose actions are not subject to open meeting laws (Bagley-Keene Open Meeting Act [Gov. Code sec 11120 et seq.] or the Brown Act [Gov. Code sec 54950 et seq.]) with regard to its certification, must post for public review and comment, their draft certification on their website and in their office, and mail to all persons requesting notice. Any state or local public agency that is subject to open meeting laws with regard to its certification is also encouraged to take those actions. (Note: Any public comments received during this process must be included in the record submitted to the Council in case of an appeal.) **▼** YES № П If applicable, did you comply with this requirement? COVERED ACTION SUMMARY: (Project Description from approved CEQA document may be used here) The proposed Estuarine Research Station (ERS) in Rio Vista is a component of Alternative 2 in the FEIR/FEIS. The Rio Vista ERS component under Alternative 2 for which approval is requested includes approximately 116,000 square feet of buildings for office, laboratories, warehouse, and covered boat storage. In addition there will be open yard storage for equipment, and a marina for approximately 23 vessels. The purpose of the proposed Rio vista ERS is to consolidate ongoing Interagency Ecological Program (IEP) research and monitoring activities throughout the San Francisco Bay-Sacramento-San Joaquin River Delta (Bay-Delta). IEP monitoring activities assist DWR in complying with conditions of its water right permits and licenses. Only the marina component of the proposed action is within Primary Delta Boundary (Legal Delta), and most of the project (i.e. Buildings) is not within either the Primary or Secondary Boundaries. **STATUS IN THE CEQA PROCESS:** NOD has been filed Ε. STATE CLEARINGHOUSE NUMBER: F. 2014122017 (if applicable) **COVERED ACTION ESTIMATED TIME LINE:** ANTICIPATED START DATE: (If available) ANTICIPATED END DATE: (If available) **COVERED ACTION TOTAL ESTIMATED PROJECT COST:** H. \$90,000,000.00 ı. IF A CERTIFICATION OF CONSISTENCY FOR THIS COVERED ACTION WAS PREVIOUSLY SUBMITTED, LIST DSC REFERENCE NUMBER ASSIGNED TO THAT CERTIFICATION FORM:

SUPPORTING DOCUMENTS: NOTICE OF INTENT TO SUBMIT A CERTIFICATION OF CONSISTENCY WITH THE DELTA PLAN.pdf

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Step 3 - Consistency with the Delta Plan

DELTA PLAN CHAPTER 2

G P1 / 23 CCR SECTION 5002 - Detailed Findings to Establish Consistency with the Delta Plan.

In General: (23 CCR SECTION 5002 (a), (b), (1)) This regulatory policy specifies what must be addressed in a certification of consistency filed by a State or local public agency with regard to any covered action.

This regulatory policy only applies after a "proposed action" has been determined by a State or local public agency to be a covered action because it is covered by one or more of the regulatory policies listed under Delta Plan Chapters 3, 4, 5, and 7 of this form. Inconsistency with this policy may be the basis for an appeal.

Covered actions, in order to be consistent with the Delta Plan, must be consistent with this regulatory policy and with each of the regulatory policies listed under Delta Plan Chapters 3, 4, 5 and 7 of this form implicated by the covered action. The Delta Stewardship Council acknowledges that in some cases, based upon the nature of the covered action, full consistency with all relevant regulatory policies may not be feasible. In those cases, the agency that files the certification of consistency may nevertheless determine that the covered action is consistent with the Delta Plan because, on whole, that action is consistent with the coequal goals. That determination must include a clear identification of areas where consistency with relevant regulatory policies is not feasible, an explanation of the reasons why it is not feasible, and an explanation of how the covered action nevertheless, on whole, is consistent with the coequal

goals. That determination is subject to review by the Delta Stewardship Council on appeal;						
Specific requirements of this regulatory policy:						
Mitigation Measures (23 CCR SECTION 5002 (b), (2)) The covered action is not exempt from CEQA, and includes applicable feasible mitigation measures identified in the Delta Plan's Program Environmental Impact Report, (unless the measure(s) are within the exclusive jurisdiction of an agency other than the agency that files the certification of consistency), or substitute mitigation measures that the agency that files the certification of consistency finds are equally or more effective. Is the covered action consistent with this portion of the regulatory policy?						
$\overline{\mathbf{V}}$	YES	□ NO		N/A		
	Answer Justification:	FEIR_FEIS for this project has mitigation measures that meet, exceed, or equivalent to those within the Delta Plan. 1a Rio Vista Estuarine Rearch Station Mitigation Measures.pdf, Final Vol1 DRS_EIR_EIS.pdf, Final Vol2 DRS_EIR_EIS.pdf, Final Vol3 DRS_EIR_EIS.pdf				
Best Available Science (23 CCR SECTION 5002 (b), (3)) The covered action documents use of best available science as relevant to the purpose and nature of the project.						
The	covered action docume	nts use of best available science as relevant to t	the purpose and na	ture of the project.		
		nts use of best available science as relevant to testing the stent with this portion of the regulatory policy?				
Is th	e covered action consis	etent with this portion of the regulatory policy?	been conducting so 1970s. Example IEF so; publications (e.g. development of cological information ctions.	eferenced in this regulatory policy. N/A cientifically rigorous ecological research conscientific products include: long-term conscientific products inclu		

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c.	The	Adaptive Management (23 CCR SECTION 5002 (b), (4)) The covered action involves ecosystem restoration or water management, and includes adequate provisions, appropriate to its scope, to assure continued implementation of adaptive management							
. .		covered action consistent with this portion of the regulatory policy? Appendix 1B is referenced in this regulatory policy.							
	☑	YES	NO			□ N/A			
		Answer Justification:	Since the scope of the related covered action is not required for this management efforts in and federal agencies to research, and synthesis Station (ERS) will serve monitoring activities to reduce scientific un management. For exact conducted year-round endangered species to ERS will facilitate improves across to the increased coordinates a critical mass of interest adaptive management. While the ERS will facilitate imports adaptive management. While the ERS will facilitate increased coordinates a critical mass of interest adaptive management. The proposed marina. The proposed marina to limit floating needed. Despite these management approact collaboration efforts. The adaptive management approact collaboration efforts and science of IAV in the forum for Delta aquatilities in conjunction with adaptive management which IAV management expectation is that the marina.	s, the project team project. However, the Delta. The Internat coordinately and studies focused of as a centralized Denat inform adaptive certainties and increple, the long-term by IEP informs adake, and habitat restoved interagency contific ideas. There ideated to support curation and collaborate framework to support curation and adaptive material design inclusives accumulating debris accumulation planned measures in and will be addressed and will be addressed to weed science, and state Parks Division to the province of a pure control of a	believes the adaptive this project will support this project will support a cological Production of the Bay-Delta ecosyelta research facility for management. These ease our current state of the population and optive management detoration efforts. The abollaboration as well as a strong expectation rent and future adaption of research and nuff in a single location port management act entific collaborations are an agement approach. The project in the proj	e management required ort, inform, and facility orgram (IEP) is a considuct ecologically relevance. The Rio Vista Extem. The Rio Vista Extendition of the Rio Vista Extendition of the Rio Vista Extenditions of the Rio Vista Extenditions for endangement of the Rio Vista Extenditions for endang	rement of the G P1(c) rate adaptive ortium of nine State vant monitoring, stuarine Research and their scientific and red and implemented oport adaptive ince monitoring ter project operations of the leaders in the few monitoring and/or of the leaders in the red belta. Collectively, bught about by having the inform an adaptive the ERS tial concern for the thern end of the getation will occur as the from an adaptive and ongoing science laptive management the Work Team as a lent actions. Secondly, and a substantial remine the degree to red fishes. Our		
DE	TA DI	AN CHARTER 2							
DE		AN CHAPTER 3 P1 / 23 CCR SECTION 50	003 - Reduce Reliance of	the Delta through	Improved Regional	Water Self-Reliance			
				_	i improved negional	Tracer Sen-Nenance			
	Is th	e covered action consis	tent with this regulator		-	21/2			
		YES Answer Justification:	The covered action do Delta.	NO es not involve wate	r that is exported from	N/A m, transferred throug	gh, or used in the		
	WR	P2 / 23 CCR SECTION 50		ater Contracting					
	Is th	the covered action consistent with this regulatory policy? <u>Appendix 2A</u> and <u>Appendix 2B</u> are referenced in this regulatory policy.							
		YES		NO	<u> </u>	N/A	5 / F F		

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Answer Justification:

Conservation Measure: (23 CCR SECTION 5002 (c))

The covered action does not involve entering into or amending water supply or water transfer contracts subject to DWR Guideline 03-09 and/or 03-10 (each dated July 3, 2003), (Appendix 2A).

DELTA PLAN CHAPTER 4

A conservation measure proposed to be implemented pursuant to a natural community conservation plan or a habitat conservation plan that was:

is de 5009	eemed to be consistent (a) if the certification of	d by the California Depa with the regulatory pol consistency filed with re	artment of Fish and Wildlife p licies listed under Delta Plan (Chapter 4 of	16, 2013 this form (i.e. sections 5005 through les a statement confirming the nature of		
Is a	statement confirming tl	he nature of the conser	vation measure from the Cali	ifornia Depa	tment of Fish and Wildlife available?		
	YES		NO		N/A		
	Answer Justification:	The covered action do plan.	oes not include a natural comn	munity conse	rvation plan or a habitat conservation		
ER P	21 / 23 CCR SECTION 500	<u>)5</u> - Delta Flow Objectiv	ves .				
Is th	e covered action consis	tent with this regulator	ry policy?				
	YES		NO	\checkmark	N/A		
	Answer Justification:	The covered action do	oes not significantly affect flow	v in the Delta			
ER P	2 / 23 CCR SECTION 500	06 - Restore Habitats at	Appropriate Elevations				
Is th	e covered action consis	tent with this regulator	ry policy? Appendix 3 and App	pendix 4 are	referenced in this regulatory policy.		
	YES		NO	$ \overline{\checkmark} $	N/A		
	Answer Justification:	The covered action do	oes not include habitat restora	ition.			
ER P3 / 23 CCR SECTION 5007 - Protect Opportunities to Restore Habitat							
LICI	3 / 23 CCN 3ECTION 300	- Protect Opportuniti	ies to Restore Habitat				
				<u>pendix 5</u> are	referenced in this regulatory policy.		
				<u>pendix 5</u> are	referenced in this regulatory policy.		
	e covered action consis	tent with this regulator	ry policy? <u>Appendix 4</u> and <u>Ap</u>		N/A		
Is th	e covered action consis YES Answer Justification:	tent with this regulator The covered action is o	ry policy? <u>Appendix 4</u> and <u>Ap</u> NO	restoration	N/A		
Is th	YES Answer Justification: 24 / 23 CCR SECTION 500	tent with this regulator The covered action is one of the covered action.	ry policy? Appendix 4 and App NO outside of the priority habitat and Riparian Habitats in Leve	restoration a	N/A areas depicted in Appendix 5.		
Is th	YES Answer Justification: 24 / 23 CCR SECTION 500	tent with this regulator The covered action is one of the covered action.	ry policy? Appendix 4 and App NO outside of the priority habitat	restoration a	N/A areas depicted in Appendix 5. regulatory policy.		
Is th	YES Answer Justification: 4 / 23 CCR SECTION 500 e covered action consis	tent with this regulator The covered action is one of the covered action.	NO outside of the priority habitat and Riparian Habitats in Leve ry policy? Appendix 8 is refere NO	restoration are Projects enced in this	N/A areas depicted in Appendix 5.		
ER P	YES Answer Justification: 24 / 23 CCR SECTION 500 4 covered action consis YES Answer Justification:	tent with this regulator The covered action is one of the covered action is one of the covered action is one of the covered action do levees.	NO outside of the priority habitat and Riparian Habitats in Leve ry policy? Appendix 8 is refere NO	restoration are Projects enced in this or substantia	N/A areas depicted in Appendix 5. regulatory policy. N/A lly rehabilitate or reconstruct existing		
ER P	PE covered action consist YES Answer Justification: PA / 23 CCR SECTION 500 PE covered action consist YES Answer Justification: PE / 23 CCR SECTION 500	tent with this regulator The covered action is one of the covered action is one of the covered action do levees. Avoid Introductions	NO outside of the priority habitat and Riparian Habitats in Leve ry policy? Appendix 8 is refere NO oes not construct new levees of	restoration are Projects enced in this or substantia	N/A areas depicted in Appendix 5. regulatory policy. N/A lly rehabilitate or reconstruct existing		
ER P Is th	PE covered action consist YES Answer Justification: 24 / 23 CCR SECTION 500 10 e covered action consist YES Answer Justification: 25 / 23 CCR SECTION 500 10 e covered action consist YES	tent with this regulator The covered action is one of the covered action is one of the covered action do levees. Avoid Introductions	NO outside of the priority habitat and Riparian Habitats in Leve ry policy? Appendix 8 is refere NO pees not construct new levees of s of and Habitat for Invasive No	restoration are Projects enced in this or substantia	N/A areas depicted in Appendix 5. regulatory policy. N/A Bly rehabilitate or reconstruct existing		
ER P	PE covered action consist YES Answer Justification: PA / 23 CCR SECTION 500 PE covered action consist YES Answer Justification: PE / 23 CCR SECTION 500	tent with this regulator The covered action is one of the covered action is one of the covered action do levees. Paragraph - Avoid Introductions tent with this regulator	NO outside of the priority habitat and Riparian Habitats in Leve ry policy? Appendix 8 is refere NO oes not construct new levees of s of and Habitat for Invasive N ry policy? NO	restoration are Projects enced in this or substantia	N/A areas depicted in Appendix 5. regulatory policy. N/A lly rehabilitate or reconstruct existing		

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<u>DP</u> I	P1 / 23 CCR SECTION 50	10 - Locate New Urban	Developme	ent Wisely		
Is th	ne covered action consis	tent with this regulator	y policy? A	Appendix 6 and Appendix	<mark>7</mark> are	referenced in this regulatory policy.
\checkmark	YES		NO			N/A
		The water front impro sphere of influence of		_	nd th	e landside improvements are within the
	Answer Justification:	with federal, state, an Coordinating agencies Service, National Mari California State Lands to having received pos	d local age include, but ne Fisherie Commissio sitive feedb yould be co	ncies during the process o ut are not limited to, the B es Service, U.S. Coast Guard on, Delta Protection Comm oack and support from vari	f plan Bureau d, Cal hissior ious e	as coordinated in a collaborative manne ning and designing this project. If of Reclamation, U.S. Fish and Wildlife ifornia Department of Fish and Wildlife, If of Rio Vista, etc. In addition nities, as findings listed in the FEIR/FEIS are plans and policies. DPP1 Land Use and
DP	P2 / 23 CCR SECTION 50			Siting Water or Flood Fac	ilities	or Restoring Habitats
				6		
Is th	ne covered action consis	tent with this regulator	y policy?			
	YES		NO		\checkmark	N/A
	Answer Justification:	The covered action do flood management inf			nager	ment facilities, ecosystem restoration, or
DELTA PI	LAN CHAPTER 7					
RR I	P1 - Prioritization of Sta	te Investments in Delta	Levees and	d Risk Reduction		
Is th	ne covered action consis	tent with this regulator	y policy?			
П	YES	П	NO		$\overline{\mathbf{V}}$	N/A
_	Answer Justification:		es not invo	olve discretionary State inv tenance, and improvemen	estm/	ents in Delta flood risk management
RR I	22 - Require Flood Prote	ection for Residential De		•		
le +k	o covered action consider	tont with this regulator	n Policy 2 A	Appendix 7 is referenced i	n thic	ragulatoru naliau
		<u> </u>		<u>appendix 7</u> is referenced in		
Ш	YES		NO			N/A
	Answer Justification:	The covered action do	es not invo	olve new residential develo	opme	nt of five or more parcels.
<u>KK I</u>	23 - Protect Floodways					
Is th	ne covered action consis	stent with this regulator	y policy?			
	YES		NO		\checkmark	N/A
	Answer Justification:	The covered action do	es not enc	roach within any floodway	/ .	
<u>RR I</u>	<u>P4</u> - Floodplain Protection	on				
Is th	ne covered action consis	tent with this regulator	v policy?			
	YES	п	NO		$\overline{\mathbf{V}}$	N/A
	123	Ь	110			N/A

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The covered action does not encroach in any of the following floodplain areas:

- (1) The Yolo Bypass within the Delta;
- (2) The Cosumnes River-Mokelumne River Confluence, as defined by the North Delta Flood Control and Ecosystem Restoration Project (McCormack-Williamson), or as modified in the future by the California Department of Water Resources or the U.S. Army Corps of Engineers (California Department of Water Resources 2010); and

Answer Justification:

(3) The Lower San Joaquin River Floodplain Bypass area, located on the Lower San Joaquin River upstream of Stockton immediately southwest of Paradise Cut on lands both upstream and downstream of the Interstate 5 crossing. This area is described in the Lower San Joaquin River Floodplain Bypass Proposal, submitted to the California Department of Water Resources by the partnership of the South Delta Water Agency, the River Islands Development Company, Reclamation District 2062, San Joaquin Resource Conservation District, American Rivers, the American Lands Conservancy, and the Natural Resources Defense Council, March 2011. This area may be modified in the future through the completion of this project.

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